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LAPLACIAN DISTRIBUTION AND DOMINATION

DOMINGOS M. CARDOSO, DAVID P. JACOBS, AND VILMAR TREVISAN

ABSTRACT. Let $m_G(I)$ denote the number of Laplacian eigenvalues of a graph G in an interval I , and let $\gamma(G)$ denote its domination number. We extend the recent result $m_G[0, 1] \leq \gamma(G)$, and show that isolate-free graphs also satisfy $\gamma(G) \leq m_G[2, n]$. In pursuit of better understanding Laplacian eigenvalue distribution, we find applications for these inequalities. We relate these spectral parameters with the approximability of $\gamma(G)$, showing that $\frac{\gamma(G)}{m_G[0, 1]} \notin O(\log n)$. However, $\gamma(G) \leq m_G[2, n] \leq (c + 1)\gamma(G)$ for c -cyclic graphs, $c \geq 1$. For trees T , $\gamma(T) \leq m_T[2, n] < 2\gamma(G)$.

Key words and phrases: graph, Laplacian eigenvalue, domination number.

AMS subject classification: 05C50, 05C69.

1. INTRODUCTION

Let $G = (V, E)$ be an undirected graph with vertex set $V = \{v_1, \dots, v_n\}$. For $v \in V$, its *open neighborhood* $N(v)$ denotes the set of vertices adjacent to v . The *adjacency matrix* of G is the $n \times n$ matrix $A = [a_{ij}]$ for which $a_{ij} = 1$ if v_i and v_j are adjacent, and $a_{ij} = 0$ otherwise.

The *Laplacian matrix* of G is defined as $L_G = D - A$, where $D = [d_{ij}]$ is the diagonal matrix in which $d_{ii} = \deg(v_i)$, the degree of v_i . The *Laplacian spectrum* of G is the multi-set of eigenvalues of L_G , we number

$$\mu_1 \geq \mu_2 \geq \dots \geq \mu_n = 0.$$

It is known that $\mu_1 \leq n$. Unless indicated otherwise, all eigenvalues in this paper are Laplacian. We refer to [22, 23] for more background on the Laplacian spectra of graphs.

A set $S \subseteq V$ is *dominating* if every $v \in V - S$ is adjacent to some member in S . The *domination number* $\gamma(G)$ is the minimum size of a dominating set. Its decision problem is well-known to be NP-complete, and it is even hard to approximate. For more information on domination in graphs, we refer to [17].

Since 1996, several papers have been written relating the Laplacian spectrum of a graph G with $\gamma(G)$. Often these results obtain a bound, involving $\gamma(G)$, for a *specific* eigenvalue such as μ_1 or μ_{n-1} . For example, it was shown that $\mu_1 < n - \lceil \frac{\gamma(G)-2}{2} \rceil$ by Brand and Seifter [6] for G connected and $\gamma(G) \geq 3$. This was recently improved in [26]. We refer to the introduction of [18] for a summary of these results.

Other spectral graph theory papers, including this one, are interested in *distribution*, that is, the number of Laplacian eigenvalues in an interval. For a real interval I , $m_G(I)$ denotes the number of Laplacian eigenvalues of G in I . There exist several papers in the literature that relate Laplacian distribution to specific graph parameters, including $\gamma(G)$. For example, the paper by Zhou, Zhou and Du [27] shows that for trees T , $m_T[0, 2] \leq n - \gamma(T)$.

The following spectral lower bound for $\gamma(G)$ was proved in [18]:

Theorem 1. *If G is a graph, then $m_G[0, 1] \leq \gamma(G)$.*

In this paper we observe that for G isolate-free one has

$$\gamma(G) \leq m_G[2, n].$$

Since $m_G[0, 2) + m_G[2, n] = n$, this inequality generalizes the result in [27] for trees.

Our paper seeks *applications* to the inequalities $m_G[0, 1) \leq \gamma(G)$ and $\gamma(G) \leq m_G[2, n]$. We also seek insight into the *ratios* of these numbers. In the examples given in [18], the numbers $\gamma(G)$ and $m_G[0, 1)$ were equal or differed by one. We will see that this does not happen in general.

The remainder of our paper is organized as follows. We finish this introduction by considering the sharpness of these inequalities. In the next section we recall the proof of Theorem 1 and modify it to obtain an inequality involving $m_G[2, n]$. In Section 3 we obtain several new results based on existing Nordhaus-Gaddum inequalities and Gallai-type theorems. One interesting new Nordhaus-Gaddum result is that for any graph G , $m_G[0, 1) + m_{\bar{G}}[0, 1) \leq n + 1$ with equality if and only if $G = K_n$ or $G = \bar{K}_n$. Another interesting result is that a graph must have fewer than \sqrt{n} Laplacian eigenvalues in at least one of the intervals $[0, 1)$ or $(n - 1, n]$. Furthermore, the inequality $m_G[1, n] \geq \Delta(G)$ obtained in Theorem 8 relates the combinatorial structure of the graph with the Laplacian distribution in that more eigenvalues less than 1 implies a smaller maximum degree.

In Section 4, using results from the approximation literature, we explain why we can't expect the quantities $m_G[0, 1)$ or $m_G[2, n]$ to be close to $\gamma(G)$. Using some results on Vizing's conjecture, we show that $\frac{\gamma(G)}{m_G[0, 1)} \notin O(\log n)$. For trees, $\gamma(T) \leq m_T[2, n] < 2\gamma(T)$. For c -cyclic graphs G , $c \geq 1$, $m_G[2, n] \leq (c + 1)\gamma(G)$. These results seem interesting in light of the domination number's general inapproximability. In Section 5 we observe that many results also hold for the signless Laplacian spectrum.

Tightness. We briefly discuss whether $\gamma(G)$ is the natural graph parameter bounded below by $m_G[0, 1)$ and above by $m_G[2, n]$. For example, one might ask if there exists a graph parameter $p(G)$ for which

$$m_G[0, 1) \leq p(G) \leq \gamma(G).$$

We considered three well-known graph parameters, each bounded above by $\gamma(G)$, and observed that they are not always bounded below by $m_G[0, 1)$. More precisely, while the *2-packing number* $\rho(G)$ (see [3]) is always at most $\gamma(G)$, we can find a graph for which $\rho(G) < m_G[0, 1)$. Similar examples can be found for the *fractional domination number* $\gamma_f(G)$ [14], and the *irredundance number* $\text{ir}(G)$ [11]. We omit the details.

One can also ask if there is a known graph parameter $q(G)$ for which

$$\gamma(G) \leq q(G) \leq m_G[2, n]$$

for isolate-free G . Graph parameters $q(G)$ for which $\gamma(G) \leq q(G)$ include the *independent domination number* $i(G)$, the *edge covering number* $\alpha_1(G)$, and the matching number $\beta_1(G)$. In the first two cases we can provide counter examples to show they are not necessarily bounded above by $m_G[2, n]$. Interestingly, we will see that $\gamma(G) \leq \beta_1(G) \leq m_G[2, n]$, when G is isolate-free.

2. UPPER BOUND FOR $\gamma(G)$

In this section we show how to modify the proof of Theorem 1 to obtain a new inequality. For convenience, we recall the facts used to prove Theorem 1. Proofs or

references can be found in [18]. In this paper, a *star* S_n is the complete bipartite graph $K_{1,n-1}$, and $n \geq 2$.

Lemma 1. *The star S_n on n vertices has Laplacian spectrum $0, 1^{n-2}, n$.*

Lemma 2. *For graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ where $E_1 \cap E_2 = \emptyset$, and $G = (V, E_1 \cup E_2)$, we have $L_G = L_{G_1} + L_{G_2}$.*

Let $\lambda_i(A)$ denote the i -th largest eigenvalue of a Hermitian matrix A .

Lemma 3. *If A and B are Hermitian matrices of order n , and B is positive semi-definite, then $\lambda_i(A + B) \geq \lambda_i(A)$, for $1 \leq i \leq n$.*

Lemma 4. *Let $G = (V, E)$ and $H = (V, F)$ be graphs with $F \subseteq E$. Then*

- (1) *for all i , $\mu_i(H) \leq \mu_i(G)$;*
- (2) *for any a , $m_H[0, a] \geq m_G[0, a]$;*
- (3) *for any a , $m_H[a, n] \leq m_G[a, n]$.*

Let S be a set of vertices, and $u \in S$. A vertex $v \in V - S$ is an *external private neighbor* of u (with respect to S) if $N(v) \cap S = \{u\}$. That is, $v \in V - S$ is a neighbor of u , but not a neighbor of any other member of S .

Lemma 5 ([4]). *Any graph without isolated vertices has a minimum dominating set in which every member has an external private neighbor.*

We will say that G has a *star forest* $F = (S_{n_1}, \dots, S_{n_k})$, if there exists a sequence of pairwise vertex-disjoint subgraphs H_i of G , with $H_i \simeq S_{n_i}$, for all i , $1 \leq i \leq k$. We emphasize that stars have order $n_i \geq 2$.

Lemma 6. *Any isolate-free graph $G = (V, E)$ with domination number γ has a star forest $F = (S_{n_1}, \dots, S_{n_\gamma})$ such that every $v \in V$ belongs to exactly one star, and the centers of the stars form a minimum dominating set.*

Theorem 1 gives a spectral lower bound for $\gamma(G)$. The key to its proof was to take the star forest that cover all vertices,

$$F = (S_{n_1}, S_{n_2}, \dots, S_{n_{\gamma(G)}}),$$

guaranteed by Lemma 6. By Lemma 1 $m_{S_{n_i}}[0, 1] = 1$, and so $m_F[0, 1] = \gamma(G)$. By part (2) of Lemma 4 we have $\gamma(G) = m_F[0, 1] \geq m_G[0, 1]$.

If instead of counting the *smallest* eigenvalue in each star we count the *largest*, we can also obtain a spectral *upper* bound for $\gamma(G)$. Assume that G is isolate-free. In the construction of F , each star S_k contains $k \geq 2$ vertices. When $k = 2$, the star has eigenvalues $0, 2$. When $k \geq 3$, the star has eigenvalues $0, 1^{k-2}, k$. So $m_{S_{n_i}}[2, n] = 1$ for all i . Since these are disjoint stars, $m_F[2, n] = \gamma(G)$. By Lemma 4, part (3), $m_F[2, n] \leq m_G[2, n]$. We conclude that

Theorem 2. *If G is an isolate-free graph, then $\gamma(G) \leq m_G[2, n]$.*

We will use some ideas from our proof of Theorem 2 to establish Theorem 10 and Theorem 11, later in Section 4. However, there is actually an alternative and simpler proof to Theorem 2 which we sketch. Recall that the *matching number* $\beta_1(G)$, is the size of a largest set of independent edges in G . We first claim that $\beta_1(G) \leq m_G[2, n]$ for any graph G . To see this, let F be the subgraph of G consisting of $\beta_1(G)$ disjoint K_2 's and $n - 2\beta_1(G)$ isolated vertices. Then $m_F[2, n] = \beta_1(G)$. By part (3) of Lemma 4, we

must have $\beta_1(G) = m_F[2, n] \leq m_G[2, n]$. Finally, it is known [17] that if G is isolate-free then $\gamma(G) \leq \beta_1(G)$, and so Theorem 2 follows.

A connection between $\beta_1(G)$ and the number of Laplacian eigenvalues *strictly* greater than two was shown in 2001 by Ming and Wang [21]. They proved that if G is connected and $n > 2\beta_1(G)$, then $\beta_1(G) \leq m_G(2, n]$.

Theorem 2 strengthens a recent result by Zhou, Zhou and Du [27] which says that for trees T , $m_T[0, 2) \leq n - \gamma(T)$. Note that Theorem 2 requires G be isolate-free while Theorem 1 does not. This happens because isolates in Theorem 1 can be disregarded as they increase both sides of the inequality by one. In Theorem 2 an isolate increases one side of the inequality but not the other. Theorem 1 and Theorem 2 imply

Corollary 1. *If G is isolate-free then $m_G[0, 1) \leq \gamma(G) \leq m_G[2, n]$.*

It seems interesting in its own right that

Corollary 2. *If G is isolate-free, then $m_G[0, 1) \leq m_G[2, n]$.*

When combined with a known lower bound on $m_T[0, 2)$ for trees, Theorem 1 implies something interesting about the interval $[1, 2)$.

Corollary 3. *If T is a tree, then $m_T[1, 2) \geq \lceil \frac{n}{2} \rceil - \gamma(T)$.*

Proof. We have

$$\begin{aligned} m_T[1, 2) &= m_T[0, 2) - m_T[0, 1) \\ &\geq \lceil \frac{n}{2} \rceil - m_T[0, 1) \\ &\geq \lceil \frac{n}{2} \rceil - \gamma(T) \end{aligned}$$

The first inequality follows by the bound $m_T[0, 2) \geq \lceil \frac{n}{2} \rceil$ for trees given in [5, Thr. 4.1]. The second inequality follows from Theorem 1. \square

3. APPLICATIONS

Recall that the *distance* between vertices u and v is the number of edges in a shortest path between them, and the graph's *diameter*, $\text{diam}(G)$, is the greatest distance between any two vertices. It is known [15] that for trees T , $\lfloor \frac{\text{diam}(T)}{2} \rfloor$ is a lower bound for both $m_T(0, 2)$ and $m_T(2, n]$. For G connected, it is also known [17] that $\frac{1+\text{diam}(G)}{3} \leq \gamma(G)$, so Theorem 2 implies

Corollary 4. *For connected graphs G , $\frac{1+\text{diam}(G)}{3} \leq m_G[2, n]$.*

Nordhaus-Gaddum inequalities. A *Nordhaus-Gaddum* inequality is a bound on the sum or product of a parameter for a graph G and its complement \bar{G} . For an overview of Nordhaus-Gaddum inequalities for domination-related parameters we refer to Chapter 10 in [17]. A result of Jaeger and Payan [19] says that if G is a graph then

$$\gamma(G) + \gamma(\bar{G}) \leq n + 1 \tag{1}$$

$$\gamma(G)\gamma(\bar{G}) \leq n \tag{2}$$

and these bounds are tight. The following theorem by Cockayne and Hedetniemi characterizes when equality occurs in (1).

Theorem 3 ([10]). *For any graph G , $\gamma(G) + \gamma(\bar{G}) \leq n + 1$ with equality if and only if $G = K_n$ or $G = \bar{K}_n$.*

We can use this to obtain the following:

Theorem 4. *For any graph G , $m_G[0, 1] + m_{\bar{G}}[0, 1] \leq n + 1$ with equality if and only if $G = K_n$ or $G = \bar{K}_n$.*

Proof. From Theorem 1 and (1) we must have

$$m_G[0, 1] + m_{\bar{G}}[0, 1] \leq \gamma(G) + \gamma(\bar{G}) \leq n + 1 \quad (3)$$

for any G . Since $m_{K_n}[0, 1] = 1$ and $m_{\bar{K}_n}[0, 1] = n$, we must have equality if $G = K_n$ or $G = \bar{K}_n$. Conversely if $m_G[0, 1] + m_{\bar{G}}[0, 1] = n + 1$, then (3) forces $\gamma(G) + \gamma(\bar{G}) = n + 1$. By Theorem 3 it follows that $G = K_n$ or $G = \bar{K}_n$. \square

From Theorem 1 and (2) we also have

Theorem 5. *For any graph G , $m_G[0, 1] \cdot m_{\bar{G}}[0, 1] \leq n$.*

Recall [22, Theorem 3.6] that if G has Laplacian eigenvalues

$$0 = \mu_1 \leq \mu_2 \leq \dots \leq \mu_n$$

then the Laplacian eigenvalues of \bar{G} are:

$$0, n - \mu_n, n - \mu_{n-1}, \dots, n - \mu_2$$

It follows that $m_{\bar{G}}[0, 1] = m_G(n - 1, n] + 1$. Then from Theorem 5

$$\begin{aligned} m_G[0, 1] \cdot m_G(n - 1, n] &< m_G[0, 1] \cdot (m_G(n - 1, n] + 1) = \\ m_G[0, 1] \cdot m_{\bar{G}}[0, 1] &\leq n. \end{aligned}$$

We have

Theorem 6. *For any graph G , $m_G[0, 1] \cdot m_G(n - 1, n] < n$.*

We conclude that any graph of order n must have fewer than \sqrt{n} Laplacian eigenvalues in at least one of the intervals $[0, 1]$ or $(n - 1, n]$.

Gallai-type theorems. A *Gallai-type* theorem has the form $x(G) + y(G) = n$ where $x(G)$ and $y(G)$ are graph parameters. There are exactly n Laplacian eigenvalues, so the equation

$$m_G[0, 1] + m_G[1, n] = n \quad (4)$$

can be regarded as a trivial Gallai-type theorem. A *spanning forest* of a graph G is a spanning subgraph which contains no cycles. Let $\varepsilon(G)$ denote the maximum number of pendant edges in a spanning forest of G .

Theorem 7 (Nieminen [24]). *For any graph G , $\gamma(G) + \varepsilon(G) = n$.*

Corollary 5. *For any graph G , $\varepsilon(G) \leq m_G[1, n]$.*

Proof. From Theorem 1 and (4) we know that

$$n - \gamma(G) \leq m_G[1, n] \quad (5)$$

the left side being $\varepsilon(G)$ by Theorem 7. \square

Corollary 6. *$\gamma(G) = m_G[0, 1]$ if and only if $\varepsilon(G) = m_G[1, n]$.*

Proof. This follows from (4) and Theorem 7. \square

Berge [2] gives an early bound for $\gamma(G)$:

$$\gamma(G) + \Delta(G) \leq n \tag{6}$$

where Δ denotes the maximum vertex degree. In [12] the authors study when equality in (6) occurs. Combining (5) and (6) give

Theorem 8. *For any graph G , $m_G[1, n] \geq \Delta(G)$.*

As a simple application to Theorem 8, suppose we are given a list σ

$$0 = \mu_n \leq \mu_{n-1} \leq \dots \leq \mu_1$$

of non-negative numbers and wish to know if there is a graph G whose Laplacian spectrum is σ . Then Theorem 8 imposes a *necessary* condition on G . Let $B = |\{i : \mu_i \geq 1\}|$. Any graph G such that $\text{Spec}(G) = \sigma$ must have vertices whose degrees are bounded by B .

We notice that in Theorem 8 the number of large eigenvalues says something about the structure of the graph. For example, graphs with high maximum degree seem to have few number of Laplacian eigenvalues smaller than 1.

4. APPROXIMATING $\gamma(G)$

In this section we explain why it is hard to approximate $\gamma(G)$ with a polynomial computable spectral quantity of the form $m_G[a, b]$. We show that $m_G[0, 1)$ and $m_G[2, n]$ do not even achieve logarithmic approximation ratios. Yet, for certain classes of graphs such as trees and c -cyclic graphs, $\frac{m_G[2, n]}{\gamma(G)}$ is bounded by a constant.

Inapproximability. It is well-known that the decision problem DOMINATING SET is NP-complete [13], even for planar graphs. In the approximation algorithm literature the problem is classified as class II in the taxonomy of NP-complete problems given in [1]. Roughly speaking, this means that approximating with better than a logarithmic ratio is hard. A problem is called *quasi-NP-hard* if a polynomial-time algorithm for it could be used to solve all NP problems in time $2^{\text{poly}(\log n)}$. Thus the notion is slightly weaker than NP-hard.

Lund and Yannakakis [20, Th. 3.6] showed that it is quasi-NP-hard to compute a polynomial-time function $f(G) \geq \gamma(G)$ for which

$$\frac{f(G)}{\gamma(G)} \leq c \log_2 n$$

when $0 < c < \frac{1}{4}$. Letting $g(G) = \frac{f(G)}{c \log_2 n}$, we see this is equivalent to computing a polynomial time $g(G) \leq \gamma(G)$ for which

$$\frac{\gamma(G)}{g(G)} \leq c \log_2 n.$$

Good approximations of $\gamma(G)$ do exist. The fractional domination number $\gamma_f(G)$ can be computed in polynomial time using linear programming. Given a vertex ordering, we can compute in polynomial time an approximation $\gamma_g(G)$ for $\gamma(G)$ using the greedy domination algorithm. Clearly for any graph G ,

$$\gamma_f(G) \leq \gamma(G) \leq \gamma_g(G).$$

In [8] Chappell, Gimbel and Hartman proved that $\frac{\gamma_g(G)}{\gamma_f(G)}$ is in $O(\log n)$. It follows that both $\frac{\gamma_g(G)}{\gamma(G)}$ and $\frac{\gamma(G)}{\gamma_f(G)}$ must also be in $O(\log n)$. Note this result does not contradict that

of Lund and Yannakakis [20], provided the constants of proportionality are sufficiently large.

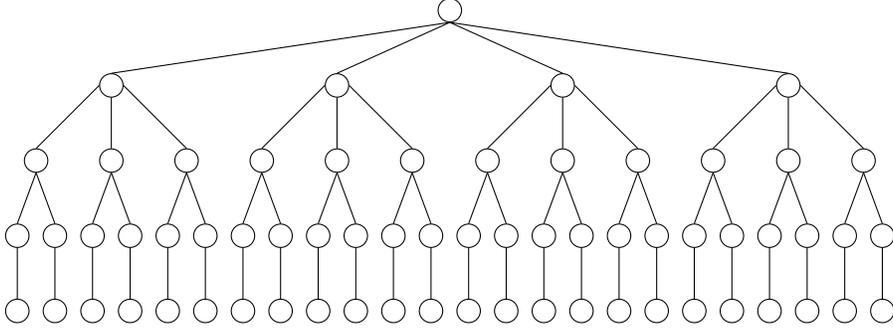


FIGURE 1. $m_T[0, 1] = 24 < \gamma(T) = 25$

Example. We now construct an infinite sequence of graphs for which the ratio $\frac{\gamma(G)}{m_G[0,1]} \notin O(\log n)$. Our construction uses the tree T of order $n = 65$, shown in Figure 1. It is known [18] that $m_T[0, 1] = 24$ and $\gamma(T) = 25$.

Recall that the *Cartesian product* $G \square H$ of two graphs $G = (V, E)$ and $H = (W, F)$ is the graph with vertex set $V \times W$ for which (v_1, w_1) and (v_2, w_2) are adjacent if and only if $v_1 = v_2$ and $w_1 w_2 \in F$ or $w_1 = w_2$ and $v_1 v_2 \in E$.

In 1968 V. G. Vizing conjectured [25] that for all graphs G and H ,

$$\gamma(G) \cdot \gamma(H) \leq \gamma(G \square H) \quad (7)$$

While this currently remains an open problem, many partial results exist. We say that G *satisfies Vizing's conjecture* if (7) holds for all graphs H . Many classes of graphs are known to satisfy Vizing's conjecture.

Lemma 7 (Theorem 8.2, [7]). *All trees satisfy Vizing's conjecture.*

It is easy to show that the Cartesian product is an associative operation. Let G^k denote the Cartesian product $G \square \cdots \square G$ of k copies of G .

Lemma 8. *If G satisfies Vizing's conjecture, then $\gamma(G)^k \leq \gamma(G^k)$.*

Proof. By induction on k , the case for $k = 1$ being trivial. Assume that $\gamma(G)^k \leq \gamma(G^k)$. Using the induction assumption, the fact that G satisfies Vizing's conjecture, and the associativity of \square , we have

$$\gamma(G)^{k+1} = \gamma(G)\gamma(G)^k \leq \gamma(G)\gamma(G^k) \leq \gamma(G \square G^k) = \gamma(G^{k+1})$$

completing the proof. \square

The following is well-known (See, for example, [22, Thr. 3.5]).

Lemma 9. *Let G and H be graphs with Laplacian spectra*

$$0 = \mu_n \leq \mu_{n-1} \leq \cdots \leq \mu_1$$

and

$$0 = \mu'_m \leq \mu'_{m-1} \leq \cdots \leq \mu'_1$$

respectively. Then the Laplacian spectrum of $G \square H$ is

$$\{\mu_i + \mu'_j | 1 \leq i \leq n, 1 \leq j \leq m\}.$$

Lemma 10. *For any graphs G and H , $m_{G \square H}[0, 1] \leq m_G[0, 1] \cdot m_H[0, 1]$.*

Proof. By Lemma 9, Laplacian eigenvalues of $G \square H$ are of the form $\mu_i + \mu'_j$, where μ_i and μ'_j are eigenvalues of G and H respectively. A necessary condition for $\mu_i + \mu'_j < 1$ is that $\mu_i < 1$ and $\mu'_j < 1$. There are at most $m_G[0, 1] \cdot m_H[0, 1]$ such pairs. \square

Lemma 11. *For any graph G and any $k \geq 1$, $m_{G^k}[0, 1] \leq m_G[0, 1]^k$.*

Proof. The case $k = 1$ is trivial, and $k = 2$ is handled by Lemma 10. Assume $m_{G^k}[0, 1] \leq m_G[0, 1]^k$. Then using Lemma 10 and the induction assumption, we have:

$$m_{G^{k+1}}[0, 1] = m_{G \square G^k}[0, 1] \leq m_G[0, 1] \cdot m_{G^k}[0, 1] \leq m_G[0, 1] \cdot m_G[0, 1]^k.$$

The right side is $m_G[0, 1]^{k+1}$ completing the induction. \square

Let T be the tree of order 65 in Figure 1 for which

$$m_T[0, 1] = 24 \text{ and } \gamma(T) = 25. \quad (8)$$

We claim that for all $k \geq 1$

$$m_{T^k}[0, 1] \leq m_T[0, 1]^k \leq \gamma(T)^k \leq \gamma(T^k) \quad (9)$$

The first inequality follows by Lemma 11, and the second inequality follows by Theorem 1. The third inequality follows by Lemma 7 and Lemma 8.

Theorem 9. *There exists a sequence of graphs G_k with $\frac{\gamma(G_k)}{m_{G_k}[0, 1]} \notin O(\log n)$.*

Proof. We let $G_k = T^k$. Using $n = 65^k$, (8) and (9) we have

$$\frac{\gamma(T^k)}{m_{T^k}[0, 1]} \geq \frac{\gamma(T)^k}{m_T[0, 1]^k} = \left(\frac{25}{24}\right)^k = \left(\frac{25}{24}\right)^{\log_{65} n} = n^{\log_{65} \frac{25}{24}} = n^{0.09779}.$$

\square

Ratios for certain classes. Consider the two approximation ratios:

$$\frac{\gamma(G)}{m_G[0, 1]} \quad (10)$$

$$\frac{m_G[2, n]}{\gamma(G)} \quad (11)$$

Both ratios can get arbitrarily large. By Theorem 9 the first of these ratios is not bounded by $\log(n)$. The second ratio also gets arbitrarily large. When $G = K_n$ is the complete graph, we see that ratio (11) is $n - 1$.

Consider (11) for paths P_n . It is well-known that $\gamma(P_n) = \lceil \frac{n}{3} \rceil$. By Thr. 4.1 in [5] we also know $m_{P_n}[2, n] \leq \lfloor \frac{n}{2} \rfloor$, and so (11) is at most $\frac{3}{2}$. Using ideas from Section 2, we show that for *all trees* ratio (11) is less than two.

Lemma 12. *Let G be a graph on n vertices and $m < \binom{n}{2}$ edges, and let G' be the graph obtained by adding an edge. Then for any $a \geq 0$,*

$$m_G[a, n] \leq m_{G'}[a, n] \leq m_G[a, n] + 1.$$

Proof. Let $0 = \mu_n \leq \dots \leq \mu_2 \leq \mu_1$ and $0 = \mu'_n \leq \dots \leq \mu'_2 \leq \mu'_1$ be the respective Laplacian spectra of G and G' . By the well-known interlacing theorem [16, Thr. 2.4] for Laplacian eigenvalues we know

$$0 = \mu_n = \mu'_n \leq \dots \leq \mu_k \leq \mu'_k \leq \dots \leq \mu_2 \leq \mu'_2 \leq \mu_1 \leq \mu'_1$$

If $a = 0$, then $m_G[a, n] = m_{G'}[a, n] = n$. If $\mu_1 < a$ then $m_G[a, n] = 0$ and $m_{G'}[a, n] = 0$ if $\mu'_1 < a$ and 1 otherwise. We may assume that $0 < a \leq \mu_1$. Choose k to be the largest index for which $a \leq \mu_k$. Then $\mu_{k+1} < a \leq \mu_k$. There is a single eigenvalue of G' , namely μ'_{k+1} in $[\mu_{k+1}, \mu_k]$. If $\mu'_{k+1} < a$, then $m_{G'}[a, n] = m_G[a, n]$. Otherwise, $m_{G'}[a, n] = m_G[a, n] + 1$. \square

Theorem 10. *If T is a tree, then $1 \leq \frac{m_T[2, n]}{\gamma(T)} < 2$.*

Proof. Let $F = (S_{n_1}, \dots, S_{n_\gamma})$ be the star forest guaranteed by Lemma 6. Then $m_F[2, n]$ is exactly $\gamma(T)$. Starting with F , we can construct T by adding $\gamma(T) - 1$ edges. By Lemma 12 the addition of each edge can increase $m_T[2, n]$ by at most one. Therefore

$$m_F[2, n] \leq m_T[2, n] \leq m_F[2, n] + \gamma(T) - 1.$$

But the right side is $2\gamma(T) - 1$ and the theorem follows. \square

A connected graph having $n - 1 + c$ edges is called *c-cyclic*. We can generalize Theorem 10 as follows.

Theorem 11. *If G is c-cyclic, $c \geq 1$, then $1 \leq \frac{m_G[2, n]}{\gamma(G)} \leq c + 1$.*

Proof. Let $F = (S_{n_1}, \dots, S_{n_\gamma})$ be the star forest in G from Lemma 6. Then we may select $\gamma(G) - 1$ additional edges to form a spanning tree T . Since T has $n - 1$ edges, there must be c remaining edges. Therefore G can be constructed from F by adding $\gamma(G) - 1 + c$ edges. By Lemma 12

$$m_G[2, n] \leq m_F[2, n] + \gamma(G) - 1 + c = 2\gamma(G) + c - 1,$$

or

$$\frac{m_G[2, n]}{\gamma(G)} \leq 2 + \frac{c - 1}{\gamma(G)} \leq 2 + c - 1,$$

the last inequality holding because $c \geq 1$ and $\gamma(G) \geq 1$. \square

Let us now consider ratio (10) for trees. For the tree in Figure 1, the ratio (10) is $\frac{25}{24}$. It is possible to generalize this example. We construct the tree T_k on $65k + 1$ vertices by taking k copies of this tree, and adjoining the root to each copy. Using the algorithm in [5], it is straightforward to determine that $m_{T_k}[0, 1) = 24k$. Using the domination algorithm in [9] it can be shown that $\gamma(T_k) = 25k$. Thus, the difference between $\gamma(T_k) - m_{T_k}[0, 1)$ grows arbitrarily large. However, the ratio (10) remains at $\frac{25}{24}$. In all known examples of trees ratio (10) is either 1 or $\frac{25}{24}$, and it is tempting to conjecture that the ratio is bounded by a constant for trees.

5. CONCLUDING REMARKS

Many of the results of this paper also apply to the *signless Laplacian spectrum*. For example, if we let $m_G^+ I$ denote the number of signless Laplacian eigenvalues of G in I , then Theorem 1 and Theorem 2 are also true if we replace m_G with m_G^+ .

We conclude by suggesting two problems for further study. First, characterize those graphs G for which $m_G[0, 1) = \gamma(G)$. Second, determine if $\frac{\gamma(T)}{m_T[0, 1)}$ bounded by a constant for trees T .

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